

THE U.S. PATENT AND TRADEMARK OFFICE OFFICIAL  
MAILROOM STAMP AFFIXED HERETO ACKNOWLEDGES  
RECEIPT OF THE ITEMS CHECKED BELOW:

MAILED VIA FIRST CLASS

Serial No.: 09/911,367

Applicant(s): Landis, et al.

Filed: July 23, 2001

Title: DIAZAPHOSPHACYCLES

Date Mailed: April 19, 2002

- ☒ [ X ] Information Disclosure Statement (duplicate)
- ☒ [ X ] Form PTO-1449
- ☒ [ X ] Cited Documents
- ☒ [ X ] Copy of International Search Report

DOCKET NO.: 032026:0594

Atty.: BPF



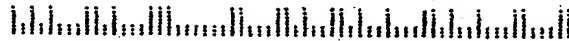
**RECEIVED**

**MAY 1 2002**

**FOLEY & LARDNER  
MADISON, WI**

Bernard P. Friedrichsen, Esq.  
Foley & Lardner  
P.O. Box 1497  
Madison, WI 53701-1497

1430 U.S. POSTAGE PB 2214072  
8908 \$00.210  
8176 MAILED FROM ZIP CODE 53703



PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Clark R. Landis  
Wiechang Jin  
Jonathan S. Owen  
Thomas P. Clark

Date: April 19, 2002

Docket No.: 032026:0594

Group Art Unit: 1625

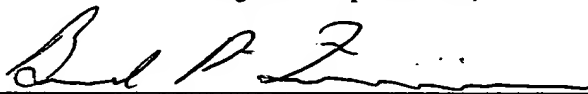
Serial No.: 09/911,367

Filed: July 23, 2001

For: **DIAZAPHOSPHACYCLES**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: U.S. Commissioner of Patents and Trademarks, Washington, D.C. 20231 on April 19, 2002.

\_\_\_\_\_  
Bernard P. Friedrichsen  
(Name of applicant, assignee  
or Registered Representative)

\_\_\_\_\_  
  
(Signature)

\_\_\_\_\_  
April 19, 2002  
(Date of Signature)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

U.S. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Submitted herewith on Form PTO-1449 and presented below is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 C.F.R. § 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 C.F.R. §§ 1.97 and 1.98.

## FOREIGN PATENTS

Patent No.

WO 91/17988

Publication Date

November 28, 1991

Country

PCT

## OTHER DOCUMENTS

Wilhelm, H., et al., "Zur Reaktion Von Phosphoryl- Und Thiophosphorylisothiocyanaten Mit Phosphanen-Die Kristallstruktur Des  $(C_6H_5O)_2P(O)-NH-C(S)P(C_6H_5)_2$ ," Phosphorus, Sulfur, and Silicon, Vol. 73, pp. 81-91 (1992), published by Gordon Breach Science Publishers S. A., United States.

Ben-Aroya, B., et al., "Addition of borane-protected secondary phosphines to imines. A route to protected mono-N-substituted- $\alpha$ -aminophosphines," Tetrahedron Letters, Vol. 41, pp. 6143-6147 (2000), published by Pergamon Press Ltd., Oxford, Great Britain.

Landis, C. R. et al., "Rapid Access to Diverse Arrays of Chiral 3,4-Diazaphospholanes," Angew. Chem. Int. Ed., Vol. 40, pp. 3432-3434 (2001), published by Wiley-VCH Verlag GmbH, Weinheim, Germany.

## REMARKS

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that this document is considered material to patentability as defined in 37 C.F.R. §1.56. Patentee does not waive any right to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

No Office Action on the merits has yet been received by Applicants. Therefore, this Supplemental Information Disclosure Statement is submitted in compliance with 37 C.F.R. § 1.97 (b)(3). Therefore, Applicants believe that no fee is due for this filing. If an Office on the merits has been mailed to Applicants, then this Supplemental Information Disclosure Statement is submitted in compliance with 37 C.F.R. § 1.97(c)(2), and the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 06-1447. Applicants do not believe any other fees are due for this filing. However, the Commissioner is authorized to charge any fee due for this filing to Deposit Account No. 06-1447. For purposes of charging said Deposit Account, a duplicate copy of this Statement is enclosed.

The cited documents were cited in the International Search Report mailed April 3, 2002, by the European Patent Office on a Patent Cooperation Treaty application that corresponds to the above-referenced application. A copy of the Search Report is enclosed. The other documents cited in the International Search Report were previously submitted to the Patent and Trademark Office in an Information Disclosure Statement filed on December 5, 2001. It is thus requested that these documents be considered during examination of the above-referenced application and be specifically made of record therein.

Respectfully submitted,

Date

April 19, 2002

By

Bernard P. Friedrichsen

FOLEY & LARDNER  
Customer Number: 23524



23524

PATENT TRADEMARK OFFICE

Telephone: (608) 258-4281  
Facsimile: (608) 258-4258

Bernard P. Friedrichsen,  
Registration No. 44,689  
Attorney for Applicants  
Foley & Lardner  
150 East Gilman Street  
P.O. Box 1497  
Madison, Wisconsin 53701-1497

SERIAL NO.  
09/911.367

**FILING DATE**  
July 23, 2001

**GROUP**  
**1625**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

		DOCUMENT NUMBER	DATE	COUNT RY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
		WO 91/17988	November 28, 1991	PCT			

[illegible]

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.